



Individual Account Application Form

Introduction

Welcome to Hantec Markets Limited

Hantec Markets Limited (HML) is authorised and regulated by the Financial Conduct Authority (FCA Firm Reference No. 502635). Our principal place of business is Hantec House, 12-14 Wilfred Street, London SW1E 6PL.

You should read this Account Application Form and the Terms and Conditions carefully before signing them. If you have any questions or do not understand any point please contact us on +44 (0) 20 7036 0888 or by email at accounts@hantecfx.com and a member your experienced Customer Services team will be happy to assist you with this application.

By completing this Account Application Form, you are making an offer to enter into a binding contract with Hantec Markets Limited.

1. Account Details

1.1 Base Currency

Please tick the appropriate box (only one choice is accepted):

Base Currency: USD EUR GBP CHF
 CAD AUD AED

1.2 Margin Requirement

HML requires a deposit of a certain percentage of the Contract's value (called an "Initial Margin") in respect of any anticipated or existing open positions which the Client has or will have with HML. The Initial Margin applicable to this trading account is set out below. HML can change these amounts (but will provide notice to you if it chooses to exercise this discretion).

At a certain percentage of the National Contract Amount:

Initial Margin 4% (25:1 leverage)
 2% (50:1 leverage)
 1% (100:1 leverage)
 0.5% (200:1 leverage)

The trading account's automatic liquidation level setting (Stopout Level) will differ depending on the leverage chosen. Please refer to the terms and conditions for more information.

Leverage Awareness

Using leverage amplifies the effects of market movements on your capital. While using higher leverage can increase your potential profits, it will equally significantly increase your potential losses. If the underlying of one of your trades moves in the opposite direction of what you believed would happen, leverage will greatly amplify your potential losses. The higher the leverage you use, the higher the risk you take to lose your entire investment in a very short period of time.

Furthermore, by using leverage, you risk to lose more than your capital invested. This can happen in cases where you have open positions and the market gaps as a result of some market news for example and then the best attainable price to close your open positions results in losses more than your capital.

Requesting a smaller leverage, restricting the use of leverage and adopting a strict trading style that includes the use of stop and limit orders would reduce your risk.

1.3 Referred by

1.4 How do you intend to trade your Hantec Account?

Execution only
 Managed

Have you completed a Managed Trading Subscription Form?

Yes
 No

If you have not yet completed a Managed Trading Subscription Form, please be advised that your account will not be tradable until the form is submitted.

2. Online Trading Platform

Please tick the appropriate box:

- MetaTrader 4 (MT4)*
 Currenex Classic*
 Currenex Viking*

*By nominating the above platforms, I hereby release any third party software provider from any legal action, whether in tort, including negligence, breach of contract or otherwise, alleging the failure of any other party (including HML or a counterparty liquidity provider) to perform or otherwise settle a transaction executed using the above platforms.

3. Personal Information

3.1 Title

3.2 First Name

3.3 Surname

3.4 Date of Birth

3.5 Residential Address

3.6 Town/City

3.7 Postcode

3.8 Country

3.9 Telephone Number

3.10 Email Address (please use clear block letters)

4. Employment Information

4.1 Employment Status

- Employed Self employed Retired

4.2 Have you ever worked in the financial sector?

- Yes No

4.3 (if the answer is yes please describe the position you held, number of years experience etc)

Current Position/Occupation

Business Field

5. Summary of Financial Position

In order to comply with regulations and for the avoidance of money laundering and terrorist financing we will require you to provide such additional information and documentary proof of identity as we deem necessary. We will process your personal data in accordance with our obligations under the Data Protection Act 1998 and other applicable data protection legislation. In providing you with our services it may be necessary for us to disclose your personal details to third parties. Full details of disclosures are contained in our Terms and Conditions. We require the following information about your personal and financial circumstances to enable us to assess the suitability of any investment mandate you choose. If you do not provide this information we may not be in a position to provide the services to you.

5.1 Annual income (please only tick one box)

- Less than USD 25,000 USD 25,000 to USD 99,999
 USD 100,000 to USD 249,999 USD 250,000 +

5.2 Liquid net worth/Assets (excluding property) – (please only tick one box)

- Less than USD 10,000 USD 10,000 to USD 49,999
 USD 50,000 to USD 99,999 USD 100,000 to USD 349,999
 USD 350,000 to USD 999,999 USD 1,000,000 +

5.3 Other Assets (specify value)

- Less than USD 20,000 USD 20,000 to USD 49,999
 USD 50,000 to USD 999,999 USD 100,000 +

5.4 Amount of risk capital available for trading

- USD 1,000 to USD 2,000 USD 2,000 to USD 5,000
 USD 5,000 to USD 10,000 USD 10,000 to USD 20,000
 USD 20,000 to USD 50,000 USD 50,000 to USD 100,000
 USD 100,000 to USD 200,00 USD 200,000 to USD 500,000
 USD 500,000 +

5.5 Anticipated initial deposit

- USD 1,000 to USD 2,000 USD 2,000 to USD 5,000
 USD 5,000 to USD 10,000 USD 10,000 to USD 20,000
 USD 20,000 to USD 50,000 USD 50,000 to USD 100,000
 USD 100,000 to USD 200,00 USD 200,000 to USD 500,000
 USD 500,000 +

5.6 Please specify the original source of your capital

- Employment Investment Inheritance Savings

5.7 Do you understand that only risk capital should be invested?

- Yes No

Please note:

Trading in Foreign Exchange (FX), Bullion and Contracts for Differences (CFDs) is not be suitable for all investors due to the high risk nature of these products. Forex, Bullion and CFDs are leveraged products that can result in losses greater than your initial deposit. You should only invest in FX, Bullion and/ or CFD trading with funds you are prepared to lose entirely. Therefore, only your excess funds should be placed at risk and anyone who does not have such excess funds should completely refrain from engaging in FX and/or CFD trading.

5.8 Are you the beneficial owner of the funds?

- Yes No

5.9 If no, please give further details

6. Investment Objectives

Please read the following before indicating your objective:

Understanding risk

FCA rules require us when providing investment services to a retail customer, to take reasonable steps to enable the client to understand the nature of the risks involved. All forms of investment which we may deal in on your behalf involve risk. The value of investments and the income derived from them can fall as well as rise and is not guaranteed. Please read the risk warnings set out in the Terms and Conditions.

6.1 Please tick the appropriate box (only one choice is accepted):

- Capital Growth Speculation Income
 Hedging Other (Please specify)

7. Bank Details

Please provide details of the bank accounts you will use for money transfers in and out of your HML trading account. Only bank accounts that have been registered with HML can be used to process withdrawals. Please notify us if any of the provided bank details change in the future.

7.1 Name of Bank

7.2 Bank Account Number

7.3 Bank Account Name

7.4 IBAN Number

7.5 Swift Number

8. Investment Experience

Please answer the following questions which relate to your experience and knowledge in securities and derivatives transactions. This will ensure that we are able to provide you with the advice and service most appropriate for your individual circumstances.

FX & BULLION



8.1 Have you traded in Spot FX and/or Bullion?

- Yes No

8.2 If 'yes' please give further details below



Length of activity (approx no of years)				Frequency (no. of transactions per month)			
0-1	1-2	2-5	5+	0-3	4-9	10-50	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Av. Transaction size (no. of lots)					
0.01-0.09 lots	0.1-0.9 lots	1-3 lots	4-9 lots	10-50 lots	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8.3 How have you gained this experience

- As a Private Client As a result of employment in a professional capacity

FUTURES/CFD'S



8.4 Have you traded in Futures/CFD's?

- Yes No

8.5 If 'yes' please give further details below



Length of activity (approx no of years)				Frequency (no. of transactions per month)			
0-1	1-2	2-5	5+	0-3	4-9	10-50	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Av. Transaction size (no. of lots)					
0.01-0.09 lots	0.1-0.9 lots	1-3 lots	4-9 lots	10-50 lots	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8.6 How have you gained this experience

- As a Private Client As a result of employment in a professional capacity

SPREAD BETTING

8.7 Have you traded in Spread Betting?

- Yes No

8.8 If 'yes' please give further details below

Length of activity (approx no of years)				Frequency (no. of transactions per month)			
0-1	1-2	2-5	5+	0-3	4-9	10-50	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Av. Transaction size (no. of lots)					
0.01-0.09 lots	0.1-0.9 lots	1-3 lots	4-9 lots	10-50 lots	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8.9 How have you gained this experience

- As a Private Client As a result of employment in a professional capacity

OPTIONS

8.10 Have you traded in Options?

- Yes No

8.11 If 'yes' please give further details below

Length of activity (approx no of years)				Frequency (no. of transactions per month)			
0-1	1-2	2-5	5+	0-3	4-9	10-50	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Av. Transaction size (no. of lots)					
0.01-0.09 lots	0.1-0.9 lots	1-3 lots	4-9 lots	10-50 lots	50+
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8.12 How have you gained this experience

- As a Private Client As a result of employment in a professional capacity

9. Client Classification

9.1 Do you wish to be classified as an Elective Professional Client?

- Yes No

If you have selected No, please skip and proceed to the Required Account Supporting Documents section

9.2 Size of financial instrument portfolio

(defined as including cash deposits and financial instruments)

- Less than 500'000 euro
 More than 500'000 euro

The rules of the Financial Conduct Authority require Hantec Markets Limited ("HML") to categorise its clients as retail clients, professional clients, or eligible counterparties. Retail clients receive the greatest level of investor protection and eligible counterparties receive the least level of protection. Upon request, we can provide you with further information on client classifications.

The undersigned confirms that by opting to request treatment as an 'elective' professional client they waive the protections applicable exclusively afforded to retail clients by the Financial Conduct Authority (FCA). Any such waiver of the protection afforded by the Financial Conduct Authority shall be considered valid only if an adequate assessment of the expertise, experience and knowledge of the client, undertaken by HML, gives reasonable assurance, in light of the nature of the transactions or services envisaged, that the undersigned is capable of making his own investment decisions and understanding the risks involved. This needs to be suitably documented and appropriately evidenced. Leveraged foreign currency investments can be high risk, as such HML only accepts individuals who in accordance with FCA rules, can be assessed as understanding the risks of investing in the foreign exchange market. To enable us to undertake this assessment can you complete the enclosed form and return it to us as soon as possible.

Please acknowledge the declaration below confirming that you wish HML to treat you as a professional client. The undersigned is responsible for keeping HML informed about any change, which could affect their current categorisation as an elective professional client.

To: Compliance Officer - Hantec Markets Limited

- I wish to be classified as a professional client under FCA rules. I am aware that being treated as an elective professional client affords less investor protection than being classified as a retail client.

Required Account Supporting Documents

To complete your application, you must provide one item from list A and another item from list B below:

List A – Proof of ID

- Passport
- Drivers licence
- National identity Card
- Other Government issued Photo ID
- Signed & Notarised Financial Statement

The proof of ID must have at least three months left before expiry.

List B – Proof of Residence

- Utility bill (not mobile phone or printed from Internet)
- Council Tax demand
- Other documentary proof of address issued by a public body

The Proof of Residence must be dated within the last three months. The utility bill cannot be for a mobile phone and cannot be printed from the Internet.

Acknowledgements

Please tick every box to indicate that you understand each acknowledgement

By signing this Account Application Form, you:

- confirm that you have read, understood and agree to be bound by the provisions of this Account Application Form;
- confirm that you have read, understood and agree to be bound by the HML Terms and Conditions which have been provided to you;
- confirm that the information you have provided in this Account Application Form is complete and accurate and you accept that your failure to provide accurate information may affect adversely HML's ability to assess the suitability of your chosen mandate against your personal and financial circumstances;
- authorise HML to rely on the instructions set out in the Account Application Form;
- confirm that you have read and understood the risk warning in the Terms and Conditions and in this application form;
- acknowledge that you have read and understood the risk disclosure statement, that you have gathered all knowledge and information needed for you to make your own choice of leverage to be used in your trading as stated above and finally that you have done so independently from Hantec Markets Limited and as such that you will hold Hantec Markets Limited harmless from the outcome of using leverage for trading;
- consent to HML's order execution policy;
- consent to receipt of statements and other communications from HML by email;
- consent to the arrangements for the custody of your assets described in the Terms and Conditions.
- understand that if my account has been introduced by an Introducing Broker / IB, the IB may receive a commission/rebate from HML;
- understand that by properly completing the Account Application Form and signing these Acknowledgements, it merely constitutes an offer to open an account with HML;
- understand that if HML accepts your application to open an account, HML will formally communicate its acceptance by Notice to you as set out in the Terms and Conditions. Only then will there be a binding agreement between HML and you;
- understand that you should seek independent legal, financial and taxation advice in relation to the HML Terms and Conditions, and the HML Account Application prior to signing below;
- understand the financial risks associated with leveraged foreign exchange and bullion trading, including the risk that you can lose more money than you deposit;
- understand that in the event of any inconsistency between the English language version of this form and the translated equivalent in any other language, the English language version shall prevail, to the extent of any inconsistency.
- declare that you are over 18 years of age
- confirm that you are not a retail customer with residence in the United States*.
- confirm that you have acted in your own name as specified in this application and not on behalf of a third party in respect of all matters related to this client relationship. Accordingly all funds to be deposited and traded on the account with Hantec Markets are your own funds.
- confirm that the information provided by you and inserted in this form is correct and that you acknowledge that you shall be obliged to inform Hantec Markets immediately in case of any changes to this information.

*A United States resident means any of the following: any natural person resident of the United States; any company, partnership, or other legal entity created or organised under the laws of any jurisdiction of the United States; a branch or agency of a foreign entity located in the United States; a trust of which the trustee is a United States resident; an estate of which a United States resident is the executor or administrator; or any account held for the benefit of a United States resident.

Please sign and return this document to HML or submit it using the online application interface.

Your use of the services will be treated as your informed acknowledgement that you have carefully read and are prepared to accept the provisions of this Account Application Form and the Terms and Conditions regardless of whether or not you have returned a signed copy to us.

I hereby offer to open an account with HML on the terms set out in the various documents that constitute this Account Application Form. I understand that if HML accepts my application to use its services, it will confirm acceptance by issuing me with written confirmation either by post, email or other electronic means, using the details contained in the relevant Particulars section of the Hantec Markets Limited Account Application Form.

Execution

Risk Warning

Trading in foreign exchange and bullion, particularly margin trading, involves the potential for profit as well as the risk of loss which may vastly exceed the amount of money you commit to any trade or transaction. Movements in the price of foreign exchange or bullion rates are influenced by a variety of factors of global origin many of which are unpredictable. Violent movements in the price of foreign exchange or bullion rates may result in action by the market as a result of which you may be unable to settle adverse trades. Staff of Hantec Markets Limited are unable to guarantee the accuracy of any market predictions (should they offer such predictions) and cannot guarantee a maximum loss that you may suffer.

For Individual Account:

SIGNED BY

Name of Client

Signature of Client

Date: